



PTO/SB/08A (08-00)

Please type a plus sign (+) inside this box → ☒

Approved for use through 10/31/2001. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/755,038		
		Filing Date	January 9, 2004		
		First Named Inventor	Avram Reuben Gold		
		Group Art Unit	3739		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	4	Attorney Docket Number	2111-040037

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ²			
AJP		3,132,647		Corniello	05-12-1964	
		4,169,473		Samelson	10-02-1979	
		4,196,724		Wirt et al.	04-08-1980	
		4,676,240		Gardy	06-30-1987	
		4,830,008		Meer	05-16-1989	
		4,901,737		Toone	02-20-1990	
		5,044,362		Younes	09-03-1991	
		5,056,534		Wright	10-15-1991	
		5,107,830		Younes	04-28-1992	
		5,123,425		Shannon, Jr. et al.	06-23-1992	
		5,146,918		Kallok et al.	09-15-1992	
		5,148,802		Sanders et al.	09-22-1992	
		5,154,184		Alvarez	10-13-1992	
		5,190,053		Meer	03-02-1993	
		5,203,343		Axe et al.	04-20-1993	
		5,245,995		Sullivan et al.	09-21-1993	
		5,259,373		Gruenke et al.	11-09-1993	

FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴ Kind Code ³				
AJP		WO 99/20339		Respironics, Inc.	04-29-1999		
		WO 01/52928		Respironics, Inc.	07-26-2001		

Examiner Signature	<i>Carol J. Lee</i>	Date Considered	11/21/05
-----------------------	---------------------	--------------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Please type a plus sign (+) inside this box → ☒

Approved for use through 10/31/2001. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/755,038
				Filing Date	January 9, 2004
				First Named Inventor	Avram Reuben Gold
				Group Art Unit	3739
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	2111-040037
Sheet	2	of	4		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ²			
A.J.L.		5,313,937		Zdrojkowski	05-24-1994	
		5,335,654		Rapoport	08-09-1994	
		5,343,878		Scarberry et al.	09-06-1994	
		5,373,859		Forney	12-20-1994	
		5,433,193		Sanders et al.	07-18-1995	
		5,458,137		Axe et al.	10-17-1995	
		5,409,017		Lowe	04-25-1995	
		5,490,502		Rapoport et al.	02-13-1996	
		5,522,862		Testerman et al.	06-04-1996	
		5,535,738		Estes et al.	07-16-1996	
		5,535,739		Rapoport et al.	07-16-1996	
		5,549,106		Gruenke et al.	08-27-1996	
		5,591,216		Testerman et al.	01-07-1997	
		5,632,269		Zdrojkowski	05-27-1997	
		5,645,053		Remmers et al.	07-08-1997	
A.J.L.		5,803,066		Rapoport et al.	09-08-1998	
		5,826,579		Remmers et al.	10-27-1998	

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵				

Examiner Signature	<i>Barbara J. L...</i>	Date Considered	11/21/05
--------------------	------------------------	-----------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2001. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/755,038
				Filing Date	January 9, 2004
				First Named Inventor	Avram Reuben Gold
				Group Art Unit	3739
				Examiner Name	Not Yet Assigned
Sheet	3	of	4	Attorney Docket Number	2111-040037

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ²			
A.J.L.		5,704,345		Berthon-Jones	01-06-1998	
		5,794,615		Estes	08-18-1998	
		5,803,065		Zdrojowski et al.	09-08-1998	
		5,845,636		Gruenke et al.	12-08-1998	
		5,868,138		Halstrom	02-09-1999	
		5,915,385		Hakimi	06-29-1999	
		5,988,171		Sohn et al.	11-23-1999	
		6,029,664		Zdrojowski et al.	02-29-2000	
		6,029,665		Berthon-Jones	02-29-2000	
		6,087,747		Dhuler et al.	06-11-2000	
		6,092,523		Belfer	07-25-2000	
		6,105,573		Delaplane et al.	08-22-2000	
		6,138,675		Berthon-Jones	10-31-2000	
		6,212,435		Lattner et al.	04-03-2001	
		6,240,316		Richmond et al.	05-29-2001	

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵				

Examiner Signature	<i>Baran J. Lau</i>	Date Considered	11/21/05
-----------------------	---------------------	--------------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2001. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/755,038
				Filing Date	January 9, 2004
				First Named Inventor	Avram Reuben Gold
				Group Art Unit	3739
				Examiner Name	Not Yet Assigned
Sheet	4	of	4	Attorney Docket Number	2111-040037

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, cite and/or country where published.	T ²
ALP		AVRAM R. GOLD, M.D. ET AL., "The Symptoms and Signs of Upper Airway Resistance Syndrome, A Link to the Functional Somatic Syndromes", Chest Journal (122/0) 2002 (www.chestjournal.org), pages 1-9.	
ALP		AVRAM R. GOLD, M.D. ET AL., "Upper Airway Collapsibility During Sleep in Upper Airway Resistance Syndrome", Chest Journal (121/5) May 2002(www.chestjournal.org), pages 1531-1540.	
ALP		GUILLEMINAULT, CHRISTIAN, M.D. ET AL., "Upper Airway Resistance Syndrome, Insomnia, and Functional Somatic Syndromes, Chest Journal (123/1) January 2003 (www.chestjournal.org), pages 12-13.	

Examiner Signature	<i>David L. Lee</i>	Date Considered	11/21/05
-----------------------	---------------------	--------------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.